

Docket No. 209991USC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: KENESHI K. KOMATSU, et al.

SERIAL NO: 09/884,084

GAU: 1723

FILED: June 20, 2001

EXAMINER: Ana M. Fortuna

FOR: POROUS HOLLOW FIBER MEMBRANES AND METHOD OF MAKING THE SAME

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☒ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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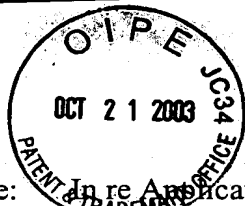
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OCT 24 2003

TC 1700

Re: In re Application of: KOMATSU Kensaku et al.
U.S. Application Serial No.: 09/884,084
Filing Date: June 20, 2001
For: POROUS HOLLOW FIBER MEMBRANES AND
METHOD OF MAKING THE SAME
Group Art Unit: 1723
Examiner: Ana M. Fortuna

STATEMENT OF RELEVANCY

References U.S. 5,746,916 and U.S. 4,229,297, cited in the attached Australian Patent Office Search Report, were previously submitted with the Information Disclosure Statement filed January 10, 2002 and have been considered and acknowledged by the Examiner on April 16, 2002.



SHEET OF

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

PRIORITY DOCKET NO.

SERIAL NO.

209991US0

09/884,084

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LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Kensaku KOMATSU, et al.

OCT 24 2003

FILING DATE

June 20, 2001

GROUP

1723

TC 1700

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,328,613	7/12/94	Beall et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO					
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.